



DOCKET NO.: P99-16

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

First Inventor: Robert C. Newman Jr.
Serial No.: 09/887,848
Filed: June 22, 2001
Title: ELECTRONIC BALLAST
Art Unit: 2821
Examiner: TRAN, THUY V
Confirmation No.: 8629

MAIL STOP PETITION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. The filing of this information disclosure statement shall not be construed as a representation that a search has been made or as an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114, no additional fee is required.
- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore:
- ☐ Statement in accordance with § 1.97(e) is attached; or
 - ☐ The Commissioner is hereby authorized to charge the fee of **\$180.00** as set forth in § 1.17(p) to Deposit Account No. 122421.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the period set forth in § 1.97(c) above but before the payment of the Issue Fee, a statement in accordance with § 1.97(e) is attached and the Commissioner is hereby authorized to charge the fee of **\$180.00** as set forth in § 1.17(p) to Deposit Account No. 122421.
- ☒ Copies of each of the U.S. unpublished pending applications, foreign patent documents, and non-patent literature documents listed on the attached forms PTO/SB/08 are enclosed herewith.
- ☒ A copy of a search report issued by a patent searching authority other than the U.S. Patent and Trademark Office is enclosed herewith and is listed on attached form PTO/SB/08B.
- ☒ There are listed references that are not in the English language.
- ☐ Copies of references listed on the attached forms PTO/SB/08 are NOT enclosed herewith as follows:
- ☐ Copies of U.S. patents or publications are not enclosed herewith.
- ☐ In view of the voluminous nature of references [list references], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of references [list references] are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application: [list references].
- ☐ Copies of references [list references] listed were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application: [list references].

If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 122421.

This form is submitted in triplicate.

Respectfully submitted,

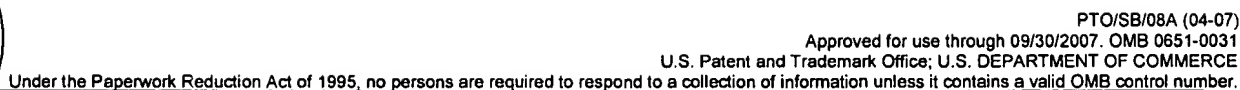
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Registration No. 42,113

31 AUGUST 2007

Date

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**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	09/887,848
Filing Date	June 22, 2001
First Named Inventor	Robert C. Newman, Jr.
Art Unit	2821
Examiner Name	Philogene, Haissa
Attorney Docket Number	P99-16

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^o
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
		JA-H09-154285	06-10-1997	Matsushita Electric Works	Entire document	✓
		JA-H06-6979	01-14-1994	Toshiba Lighting & Tech.	Entire document	✓
		JA-2000-270555	09-29-2000	Matsushita Electric Works	Entire document	✓
		JA-H10-164853	06-19-1998	Matsushita Electric Works	Entire document	✓

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/887,848		
		Filing Date	June 22, 2001		
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		Art Unit	2821		
		Examiner Name	Philogene, Haissa		
Sheet	2	of	2	Attorney Docket Number	P99-16

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		JAPANESE PATENT OFFICE, Notice of Reasons for Rejection, May 22, 2007, 7 pages.	✓

Examiner Signature		Date Considered	
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